ENVESTNET

2012 Advisor Summit
Changing the Rules

Wednesday, May 2, 2012

8:00am – 6:00pm	Registration
8:00am – 12:00pm	Exhibitor Setup
12:30pm – 1:20pm	Lunch
1:20pm – 1:30pm	Opening Remarks **Ron Fiske,** Managing Director, Strategic Partnerships, Envestnet, Inc.
1:30pm – 2:30pm	Welcome Address— **Changing the Rules** *Featured Speaker* – **Judson Bergman,** Founder and Chief Executive, Envestnet, Inc.
2:30pm – 3:30pm	Keynote Presentation— **Moneyball: The Art of Winning an Unfair Game** *Keynote Speaker* – **Billy Beane,** Oakland A's General Manager and Subject of *Moneyball*
3:30pm – 4:00pm	Refreshment Break/Exhibit Time
4:00pm – 5:00pm	Breakout Sessions

International Investing— All That Glitters?

Hear from three of the leading International investment organizations as they navigate the globe searching for opportunities. We'll discuss developed as well as emerging economies and companies that will benefit from the dynamic economic environment in the coming years.

Moderated by:
Tom Simutis
Director, Strategic Partnerships
Envestnet, Inc.

Featured Panelists –
1. **Michael Bennett**
   Managing Director, Portfolio Manager/Analyst
   Lazard
2. **Chad Irwin**
   Portfolio Manager
   Brandes
3. **Camille Humphries Lee, CFA**
   Investment Officer and Institutional Equity Portfolio Manager
   MFS

Unified Managed Accounts— The New Frontier

Hear from Home Office and Investment Professionals about how they have incorporated Unified Managed Accounts into their practice, and how you can too.

Moderated by:
Sean Mullen
SVP, Director of Advisory Services
Envestnet, Inc.

Featured Panelists –
1. **Mike Gault**
   Senior Portfolio Strategist
   WeiserMazars Wealth Advisors LLC
2. **Tony Orestano**
   Director Advisory Services
   Independent Financial Group
3. **Michelle Smith**
   Co-Founder & Director of Family Wealth Management
   Alexandra & James Advisory Services

Fiduciary Standard— Threat or Opportunity?

Some of the top professionals in the legal and regulatory field will discuss the latest developments regarding the Fiduciary Standard and its role within Financial Services.

Moderated by:
Jim Patrick
Managing Director,
Advisor Managed Programs
Envestnet, Inc.

Featured Panelists –
1. **Matt Reynolds**
   EVP, Operations & Chief Compliance Officer
   HighTower Advisors
2. **Mike Miroballi**
   President & Chief Operating Officer
   BMO Harris Financial Advisors
3. **Cynthia Hinds**
   President
   Hinds Financial Group, Inc.

5:00pm – 7:00pm	Reception in the exhibit hall
7:00pm	Manager Dine-Arounds (arranged by managers)

Speakers and topics are subject to change.
Envestnet reserves the right to substitute a speaker or cancel a session.

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2012 Advisor Summit
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Thursday, May 3, 2012

8:00am – 6:00pm
Registration

8:00am – 9:00am
Breakfast in exhibit hall

9:00am – 9:10am
Opening Remarks
Lori Hardwick, Executive Vice President, Advisory Services, Envestnet, Inc.

9:10am – 10:00am
Morning Welcome—
The State of the Advisor Marketplace
Featured Speaker – **Bill Crager,** President, Envestnet, Inc.

10:00am – 11:00am
General Session—
Envestnet/PMC Investment Strategies
Moderated by: Brandon Thomas, Chief Investment Officer, Envestnet | PMC

Keynote Speakers—
1. **Tim Clift,** Chief Investment Strategist, Envestnet | PMC
2. **Jeff Buetow, PhD,** AFAM I Innealta
3. **Brian D. Singer,** Head of Global Macro Strategies Team, William Blair
4. **Cliff Stanton,** Chief Investment Officer, Prima Capital

11:00am – 11:30am
Refreshment Break/Exhibit Time

11:30am – 12:30pm
Breakout Sessions

Fixed Income— Where Do We Go From Here?

With rates at all-time lows, can the rally continue? Three of the leading Fixed Income investment professionals will discuss where they see the markets going in the future and where opportunities may still exist.

Moderated by:
Brett Bennett
Director of Research
Envestnet | PMC

Featured Panelists –
1. **Neuberger Berman**
2. **Erik Aarts**
   EVP, Head of Product Specialists Group
   PIMCO
3. **John Miller**
   Co-Head of Fixed Income
   Nuveen
4. **Jeffrey Cummer**
   President and Senior Portfolio Manager
   SMH

Liquid Alternatives— Can They Play a Role In Your Portfolio Construction?

Three of the leading investment providers of Liquid Alternatives will discuss how their firms help advisors to focus rethinking how portfolios are constructed with the use of Liquid Alternative Investments.

Moderated by:
Ryan Tagal
VP, Product Management
Envestnet, Inc.

Featured Panelists –
1. **Bill Miller**
   CIO
   Brinker
2. **Kevin DiSano**
   SVP & National Sales Manager
   IndexIQ
3. **Mike Vogelzang**
   President and CIO
   Boston Advisors

Extend Your Reach— How to Reach Today's Affluent Women

With an increasing share of wealth being managed by women, it is imperative that advisors gear their practices to best serve this growing market. Hear about best practices and discussions of the latest marketing and business model trends from some of the leading experts in the financial industry.

Moderated by:
Marion Asnes
Managing Director, Chief Marketing Officer
Envestnet, Inc.

Featured Panelists –
1. **TBD**

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Thursday, May 3, 2012

12:30pm – 2:00pm	Lunch/Exhibit Time
2:00pm – 3:00pm	Breakout Sessions

Active versus Passive – The New Coke Versus Pepsi?

The explosion of the use of ETFs in advisory accounts has renewed this debate. Listen as professionals from both sides of the aisle renew a long-standing debate.

Moderated by:
Michael Featherman
SVP, Director of Portfolio Strategies
Envestnet I PMC

Featured Panelists –

1. **James J. Rowley, CFA**
   Senior Investment Analyst
   Vanguard Investment Strategy Group
2. **Brendan M. Clark, CFA**
   Executive Vice President
   Business Development
   Clark Capital Management Group
3. **LCV PM, Lord Abbett**

Is Buy and Hold Dead – Or Are These Rumors Greatly Exaggerated?

Since 2008, the standard of buy and hold has been under increasing pressure. Listen as these investment professionals discuss whether the tried and true approach of Buy and Hold is the right one for your clients.

Moderated by:
Brian Lewis
SVP, Senior Relationship Manager
Envestnet, Inc.

Featured Panelists –

1. **Prateek Mehrotra, CFA, CAIA**
   Chief Investment Officer
   Senior Wealth Manager
   Sumnicht & Associates, LLC
2. **Jim Shields**
   Director, Independent Broker Dealer & RIA Channel
   Russell Investments
3. **Mike Oyster**
   Managing Principal/Portfolio Strategist
   Fund Evaluation Group

Social Media – Learn it, Love it, Watch it!

We will discuss whether an advisor needs to have a social media presence and how various advisors have expanded their practice through the use of Social Media.

Moderated by:
Marion Asnes
Managing Director, Chief Marketing Officer
Envestnet, Inc.

Featured Panelists –

1. **Jennifer Connelly**
   Chief Executive Officer
   JCPR
2. **Evan Levine**
   Complete Advisors
3. **Jim Pavia**
   Editor
   Investment News
4. **Brian Hamburger**
   Founder and Managing Director
   MarketCounsel

3:00pm – 3:30pm	Refreshment Break/Exhibit Time
3:30pm – 4:45pm	General Session—

The New Direction of Envestnet's Technology
Featured Speakers –

1. **Mike Apker,** Managing Director, Advisor Suite, Envestnet, Inc.
2. **Stuart DePina,** Chief Executive Officer, Tamarac

5:00pm – 7:00pm	Reception in the exhibit hall
7:00pm	Manager Dine-Arounds (arranged by managers)

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2012 Advisor Summit

Changing the Rules

Friday, May 4, 2012

8:00am – 8:50am	Breakfast
8:50am – 9:00am	Opening Remarks **Jim Patrick,** Managing Director, Advisor Managed Programs, Envestnet, Inc.
9:00am – 10:00am	General Session— **Premier Sponsor Presentation** *Featured Speaker*—**Zane E. Brown,** Partner, Fixed Income Strategist, Lord Abbett
10:00am – 10:30am	Refreshment Break
10:30am – 11:30am	Breakout Sessions

US Equities— Ready for Take Off?

With earnings of the S&P 500 companies at all-time highs, is this the time to consider US Equities? Three of the leading equity investing firms will let us know their viewpoints.

Moderated by:
Don Frerichs
SVP & Director of Mutual Funds
Envestnet | PMC

Featured Panelists –

1. **Jim Peters**
   Tactical Allocation Group
2. **Scott MacKillop**
   Frontier Asset Management
3. **Dana Investment Advisors**

The New Age of Investing for Retirement

Historic low fixed income yields and overall market volatility has made investing for retirement more complex, especially for people approaching retirement. Representatives from three leading investment firms will discuss the latest retirement investing trends and will highlight both challenges and opportunities of the current environment.

Moderated by:
Tim Clift
Chief Investment Strategist
Envestnet | PMC

Featured Panelists –

1. **TBD**

Using Impact Investing to Grow Your Practice

The desire for investors to express their values through investing continues to gain traction. Listen as three of the leading advisors share how they use Impact/Socially Responsible Investing to enhance client loyalty, grow existing client relationships and capture new advisor relationships.

Moderated by:
Lori Hardwick
EVP, Advisory Services
Envestnet, Inc.

Featured Panelists –

1. **Tony Camejo**
   Co-Chairman/CEO
   Portfolio Resources, Group, Inc.
2. **Patricia Farrar-Rivas**
   President
   Veris Wealth Partners
3. **Linda Postorivo**
   Chief Investment Officer
   The Beringer Group

11:30am – 1:00pm	Lunch

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